



## FLEET SAFETY CONTEST



*Board of Directors Grand Trophy*

The purpose of the Fleet Safety Contest is to recognize companies that reduce accidents by designing and running a top-notch safety program.

### **Applications**

Completed applications must be returned to CTA's Events Department no later than **5:00 p.m. on July 3, 2020.**

### **Judging**

An independent panel of judges, chosen from government agencies reviews the self audit results that are provided by the participating companies. The panel looks at how the company organizes its safety programs, as well as training, driver supervision, preventive maintenance, and the overall record of accident reduction. A field audit is then performed by CTA safety staff to insure the accuracy of all winning entries.

In most categories, there will be three companies receiving awards – but not in all cases. This is because, in the opinion of the judges, less than three carriers entered met the qualifications of the high standards required to receive the

award. The companies that win CTA's awards pass a battery of tests and reviews that show clear evidence of a commitment of safety on California's highways.

**Your completed Fleet Safety Contest application(s), payment(s), and self-audit form(s) can be mailed to:**

CTA Events Department  
4148 East Commerce Way  
Sacramento, CA 95834

**Email:** [membership@caltrux.org](mailto:membership@caltrux.org)

Or you can fax the application to 503-459-0543 no later than 5:00 p.m. on Friday, July 3, 2020.

If you have any questions, please call the CTA Events Department at (916) 373-3500.

## Fleet Safety Contest Rules, Reporting Requirements & Required Documentation

### Eligibility, Entry & Fee

All contestants must be members in good standing with the California Trucking Association (CTA). Include only accidents and mileage accumulated within the boundaries of California.

Frequency rates shall be expressed in terms of the number of accidents per one (1) million miles.

The application form must be signed and certified as true and correct by an officer of the company other than the person responsible for the company's safety program.

All entrants agree to permit a complete audit of all safety program records by a CTA appointed auditor.

**\*\*Each application must include the current Basic Status of the company's Safety Measurement System (SMS) Overview AND latest BIT Inspection.** Please go to <https://www.fmcsa.dot.gov/> and enter your USDOT# or Company Name to retrieve this information.

**\*\*Each application must include a minimum of one-page and maximum of five-pages typed description of the company's safety program.**

### Definitions

Motor Vehicle Fleet Accident is any unplanned, spontaneous occurrence originating from the operation of a fleet motor vehicle used during the normal course of business, whether on public or private property, where damage to the vehicle or property results in a cumulative total of \$1,500 or more, or where there is bodily injury or death to any person. Vehicles that are struck while lawfully parked, or moving at the direction of a peace officer are exempt from this definition.

Motor Vehicle: for the purposes of this contest, the term "motor vehicle" includes all regulated vehicles as listed in California Vehicle Code Section 34500.

Mileage: The total number of miles operated in California by commercial vehicles including owner-operators and sub-haulers leased to a company. When entering multiple categories, contestants must separate and report mileage accurately for each category.

Owner-Operators/Sub-haulers: All California miles and accidents (in excess of \$1,500) will be included for owner-operators and sub-haulers leased or contracted to a fleet.

Courier (small package delivery): A courier vehicle is a van type vehicle with a minimum gross vehicle weight rating (GVWR) of 14,000 pounds, or a van type vehicle with a minimum of 700 cubic feet of cargo capacity. Sedans, pickups, panels, and vans smaller than the stated GVWR or minimum cargo capacity are not considered eligible for the carrier category.

### Contest Rules

The "Contest Year" shall commence January 1, 2019 through December 31, 2019.

Deadline: Completed Fleet Safety Contest Application(s), copy of the company's current SMS Overview, description of the company's safety program (1-5 pages) and the self audit form must be filed with the CTA Events Department, 4148 E. Commerce Way, Sacramento, CA 95834, by **5:00 p.m. on July 3, 2020.**

A copy of the carrier's status of the Safety Measurement System (SMS) Overview shall be available during the CTA audit.

**\* Any overall terminal rating of unsatisfactory within the previous 12 months shall be cause for disqualification.**

Accidents, registers/logs shall be available to the auditors for review.

Unavailable documents or documents that reflect deliberate falsifications shall be basis for carrier disqualification.

An approved Illness and Injury Prevention Program must be in place and available for review.

In the event of a tie during judging, the Accident Frequency Rate will act as the tiebreaker.

### Classifications of Contestants

Contestants are restricted to entering two divisions of competition that most closely encompasses their primary operation. A fleet performing two or more distinctly different types or service (i.e., intermodal and general commodities) may enter in both categories.

Contestants may not separate a company's operation by terminal or geographical area.



California Trucking Association  
Fleet Safety Contest  
Application Form

Company Name: \_\_\_\_\_

Main Office Address: \_\_\_\_\_

Audit Location: \_\_\_\_\_

Company Identifier(s): CA #: \_\_\_\_\_ DOT or ICC #: \_\_\_\_\_ MC #: \_\_\_\_\_

Contact Name: \_\_\_\_\_ Phone: \_\_\_\_\_

Email Address: \_\_\_\_\_

**A. Companies may enter a maximum of two (2) categories. A separate application with supplemental material attached must be submitted for each category entered. Check category entered below:**

**B.**

**1. LTL/General Commodities-Line Haul**

- Over 7 Million Miles
- 7 Millions Miles and Under

**2. LTL/General Commodities-Local/Short Haul**

- Over 4 Million Miles
- 2 to 4 Million Miles
- Under 2 Million Miles

**3. Truckload (includes refrigerated)**

- Over 7 Million Miles
- 2 to 7 Million Miles
- Under 2 Million Miles

**4. Flatbed**

- Over 2 Million Miles
- Under 2 Million Miles

**5. Specialized (includes auto transport, forest products, household goods, livestock, tow truck)**

- Over 4 Million Miles
- 2 to 4 Million Miles
- Under 2 Million Miles

**6. Cement Truck/Dump Truck (construction commodities)**

**7. Courier (small package delivery)**

**8. Intermodal**

- Over 2 Million Miles
- Under 2 Million Miles

**9. Liquid Tank & Vacuum (hazardous & non-hazardous)**

- Over 2 Million Miles
- Under 2 Million Miles

**C. Annual Gross Revenue**

- Below \$10,000,000
- \$10,000,000 and Above

**D. All Applicants must complete this section**

$$\frac{\text{_____} \times 1,000,000}{\text{\# of California Accidents 2019}} \div \frac{\text{_____}}{\text{2019 California Miles}} = \frac{\text{_____}}{\text{Accident Frequency Rate}}$$

**E. Only complete this section only if you wish to be eligible for the "Most Improved" award**

$$\frac{\text{_____} \times 1,000,000}{\text{\# of California Accidents 2018}} \div \frac{\text{_____}}{\text{2018 California Miles}} = \frac{\text{_____}}{\text{Accident Frequency Rate}}$$

$$\frac{\text{_____} \times 1,000,000}{\text{\# of California Accidents 2017}} \div \frac{\text{_____}}{\text{2017 California Miles}} = \frac{\text{_____}}{\text{Accident Frequency Rate}}$$

**Source of mileage information reported in section C or D:**

- IRP
- Mileage sheets
- California IFTA Reports
- Other \_\_\_\_\_

Officer: \_\_\_\_\_  
Signature

Safety Coordinator: \_\_\_\_\_  
Signature



## California Trucking Association Fleet Safety Contest Self-Audit Form

The following information is to be provided as thoroughly and accurately as possible. Please attach a one page description sheet to further explain or clarify any of your answers.  
(Reference the section and question indicated below when providing additional information)

### I. General

**Yes No**

- Does the company have a written safety policy?
- Do company representatives attend CTA safety meetings?
- Does the company have a safety department or someone who acts as a safety coordinator?
- Does the company have a written drug and alcohol abuse program?
- Does the company have an IIPP written program in place?
- Does the company have a documented training/orientation program for all drivers, including new hires?

### II. Driver Selection

**Yes No**

- Does the company have written hiring requirements?
- Does the company limit the number of moving violations allowed for applicants? If yes, how many? \_\_\_\_\_ Time frame? \_\_\_\_\_
- Does the company limit the number of accidents allowed for applicants? If yes, how many? \_\_\_\_\_ Time frame? \_\_\_\_\_
- Does the company maintain complete driver qualification files?
- Is the safety department/coordinator involved in the hiring policy?
- Are background checks of new hires conducted and documented?
- Is a current MVR obtained and reviewed prior to hire?

### III. Safety Program

**Yes No**

- Is the company enrolled in DMV Pull Notice Program?
- Are Pull Notices reviewed and signed?
- Does the company publish a newsletter/documents related to safety and training on a regular basis? If yes, how often? \_\_\_\_\_
- Does the company publish a newsletter/documents related to safety and training directed to owner operators on a regular basis? If yes, how often? \_\_\_\_\_
- Are driver safety meetings held? If yes, how often? \_\_\_\_\_
- Is there an employee manual stating policies and procedures?
- Does the company have an awards or incentive program for accident-free employees? If yes, briefly explain.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

- Does the company have a safety or accident review committee?
- Is there a documented disciplinary program in place?

**IV. Driver Vehicle Control**

**Yes No**

- Does the company have a written speed policy in place for drivers?
- Does the company check drivers' daily logs for errors, omissions and accurate entries on a regular basis?
- Are drivers notified of log and safety policy violations in writing?
- Is there a documented program in place to monitor and control drivers' hours of service?
- Are drivers disciplined for violating company safety policy?
- Are all disciplinary actions documented?

**V. Vehicle Maintenance**

**Yes No**

- Does the company have evidence of a comprehensive maintenance program?
- Are daily vehicle inspection reports completed?
- Are required DOT/BIT periodic inspections of equipment documented?

**VI. Accident Reporting/Recording**

**Yes No**

- Does the company keep an accident register?
- If yes, is it an accurate account of all accidents regardless of cost or severity?
- Does the company require drivers to complete a written accident report?
- Are all accidents discussed with drivers?
- Does the company have a documented accident review process?
- If requested, can you produce an accident file for an occurrence selected at random from your accident register?
- Does your reported accident frequency include all accidents and miles as defined in the contest rules?

**VII. Owner Operator Information (If applicable)**

**Yes No**

- Are the same qualification requirements used for owner-operators as company drivers?

**VIII. Equipment and Commodity Information**

- a. Number of tractors \_\_\_\_\_
- b. Number of trailers \_\_\_\_\_
- c. Number of straight trucks \_\_\_\_\_
- d. Type of commodity hauled \_\_\_\_\_

**Date:** \_\_\_\_\_

\_\_\_\_\_  
**Officer Signature**

\_\_\_\_\_  
**Safety Coordinator Signature**