

**XYZ HHA**

**ADVERSE EVENT OUTCOME REPORT**    Report Period \_\_\_\_\_

<b>SUBSTANTIAL DECLINE IN THREE OR MORE ADL'S</b>
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*Definition: Between SOC/ROC and DC, the scale levels for patients who are not terminal increased (indicating worsening) by at least two points in three or more of the ADL categories (grooming, toileting, bathing, transferring, ambulation/locomotion). Patients who could not have declined by two or more points in three ADL's are excluded.*

*OASIS M0 Triggers: M0640, M0670, M0680, M0690, M0700*

Pt. Name \_\_\_\_\_ SOC date \_\_\_\_\_ DC date \_\_\_\_\_ MR# \_\_\_\_\_

Age \_\_\_\_\_ Sex \_\_\_\_\_ Case Manager \_\_\_\_\_

Review Date \_\_\_\_\_ Reviewer \_\_\_\_\_

QUESTIONS	YES	NO	IE	COMMENTS
Did the scales increase (worsen) by at least 2 points in 3 or more ADL categories between SOC/ROC and DC?				
If not, was there an error in OASIS documentation?				
<i>If the documentation does not support the definition of the adverse outcome, stop the audit at this point and investigate how the OASIS errors occurred and re-train staff as needed.</i>				
Was the patient a possible candidate for hospice?				
If so, was a hospice referral obtained?				
Did the patient experience a change in functional abilities?				
Was the patient seen by a physician during the episode?				
Was there a new debilitating diagnosis?				
Was the decline in function gradual?				
Was the physician notified appropriately of the decline?				
Was therapy involved with the case?				
If so, which ones?				
Did the original assessment of ADL's appear consistent, e.g.				

pt unable to groom self but was able to prepare a light meal would not be consistent?				
<i>IE = Insufficient evidence documented to make decision/not documented</i>				

**ADVERSE EVENT OUTCOME REPORT-Substantial Decline in Three or More ADL's**

QUESTION	YES	NO	IE	COMMENTS
<b>Patient Status - Mental</b>				
Did the patient experience a decline in cognitive functioning?				
<b>Conclusions</b>				
Based on the documentation, could this adverse outcome have been prevented?			YES	NO      UNSURE
If yes, what may have been done to prevent the adverse outcome:				
If no, explain:				
If uncertain, explain:				

