

THE ABCs OF FAA ENFORCEMENT ACTIONS



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Family (Pilot) Tree

THE PAGE FAMILY AVIATION TREE IS GERMINATED



CAPT. ROY D. PAGE AND MARY LOUISE PAGE



AIR HOSTESS 1946

AVIATION PROBLEMS

➤ **EVENT**

➤ **OCCURRENCE**

➤ **INCIDENT**

➤ **ACCIDENT**

OPERATIONAL BLUNDERS

Titanic - mistakes

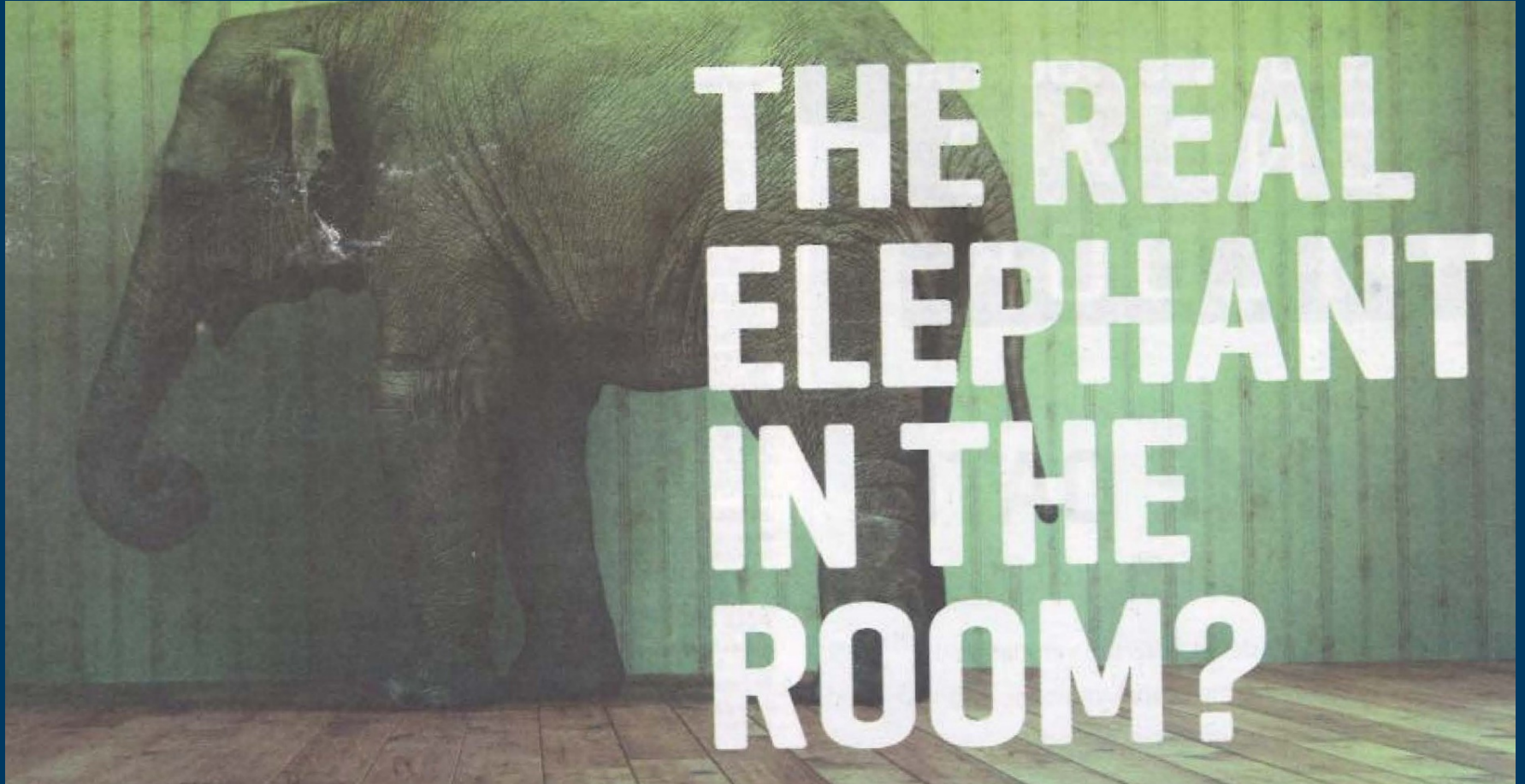


PLANNING MISTAKES

including risk assessment

- **Assumptions – Unsinkable design.**
- **“Therefore we don’t need 68 lifeboats (to hold everybody). Use 30.”**
- **Decision to proceed despite ample warnings about ice.**

INSURANCE



THE REAL
ELEPHANT
IN THE
ROOM?



**Are you insured for the contemplated flight activity?
Are you insured for the unthinkable?**

Runway Loss of Control



BIG PLANE, LITTLE AIRPORT



Air Force C-17 sits at Peter O. Knight Airport after missing MacDill by about 4 miles on July 20. Gen. James Mattis was aboard.

More Scrutiny of Interesting Operations ...





Daycare Center Crash

DAYCARE CENTER CRASH



NO REGULATION VIOLATED



NIGHT FLIGHT



LOW & SLOW



How many eggs in your engine's Robin's nest?



BIRDS OF A FEATHER FLOCK TOGETHER



Who is PIC?



EXPENSIVE TAXIING EXERCISE



AVOID INTERESTING WEATHER



PILOT DEVIATIONS

(Pilots do interesting things)



HIGH STRUNG MOONEY PILOT



THIS FLOAT PLANE STOPPED FLOATING



Too Close for Comfort... Rule 91.119 (c)



Incidents Are Traumatic





**THE FAA MAY SINGLE
YOU OUT FOR SCRUTINY**

A Gated Community



You May See Yourself Differently Than The FAA Does





**KEEP YOUR
TRAP SHUT!**

Be a Minimalist

LIFE IS ABOUT FINDING
PEOPLE THAT SHARE THE
SAME AMOUNT OF NOT
TALKING THAT YOU'RE INTO

NASA ARC 277 FORM REPORT

Chance

THIS CARD MAY BE KEPT
UNTIL NEEDED OR SOLD

GET OUT OF JAIL
FREE



©1935 Hasbro

FIX THE PROBLEM!

THE FAA'S COMPLIANCE ACTION PROGRAM

Flight Standards Metrics for FY 16

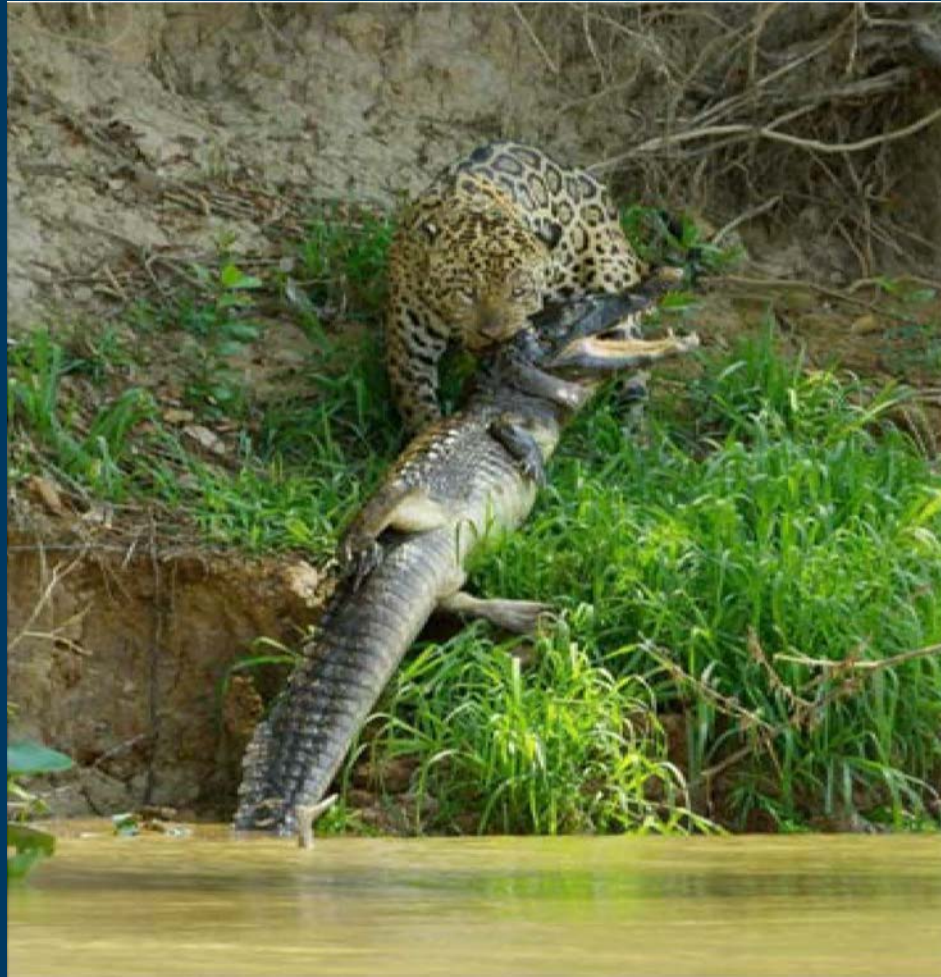
Comparison showing pre- and post- Compliance Philosophy implementation.

	FY15	FY16
Legal	1771	745
Administrative	3197	780
Informal	886	0
Compliance Action	0*	5382

Some FAA Inspectors are Still Learning What a Compliance Action is.

Don't confuse my
personality with my
attitude. My personality is
who I am. My attitude
depends on who you are.

BEFORE THE FAA COMPLIANCE ACTION



GOTCHA!

**FAA COMPLIANCE
REMEDIAL TRAINING
AGREEMENT**

A. Southern Region Remedial Training Agreement

- 1. Date: June 2, 2017**
- 2. Remedial Training Agreement between FNU LNU Airman and Regional FFAST Team Members**
- 3. Conditions: The need for remedial training has been identified**

- 4. Criteria: Educational training through FAASafety.gov.**
- 5. Required Sources of Training:**
- 6. Training Objectives:**
- 7. Training Syllabus Ground:**
- 8. Training Syllabus Flight:**
- 9. Documentation:**

10. Completion Training Date:

11. Waiver of Rights:

12. Understanding of Costs Incurred:

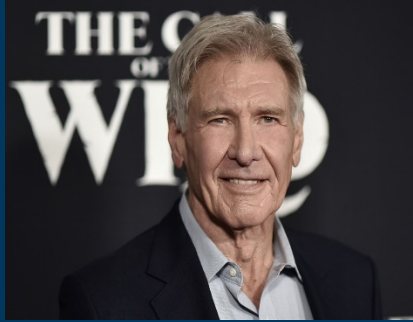
**13. Unsatisfactory Performance during
Remedial Training:**

14. Airman Signature

Harrison Ford's Compliance Action



HARRISON FORD'S MOST RECENT INCIDENT



Harrison Ford again under FAA investigation after new aircraft incident

The FAA said in a statement that the 77-year-old actor was piloting an airplane that crossed the runway as another plane tried to land, putting the aircrafts only 3,600 feet apart.

“The FAA is investigating an incident in which the pilot of an Aviat Husky taxied across the runway at Hawthorne Municipal Airport Friday afternoon while another aircraft was performing a touch-and-go landing,” a spokesperson for the FAA said.

THE AGING PILOT POPULATION



INTERACTING WITH THE FAA AFTER YOUR PROBLEM

- FOLLOW THE THREE DAY RULE**
- BE A MINIMALIST**
- FILE A NASA FORM EVERY TIME**

AOPA LEGAL SERVICES PLAN



Pertinent Excerpts from FAA Rule 2150.3B

- 1. Effective September 3, 2015.**
- 2. Compliance, administrative, and legal enforcement action ... to ensure conformity with regulatory requirements.**
 - a. Compliance – fix yourself and the root cause of the incident, occurrence, accident, problem, situation, blunder.**
 - b. Administrative – Warning Notice, Letter of Correction (agreement about the fix or cure).**

c. Legal Enforcement Action – referral to Office of Chief Counsel for an enforcement action – suspension or revocation.

1. Intentional Conduct

2. Reckless Conduct

3. Failure to Complete Correction Action

4. Conduct Creating or Threatening to Create an Unacceptable Risk to Safety

5. Legal Enforcement Required by Law (by statute or regulation).

- 3. Compliance actions for those who are willing and able to comply and are willing to cooperate in corrective actions.**
- 4. Enforcement actions for others who are unwilling and unable to comply and are unwilling to cooperate.**
- 5. Neither compliance actions or administrative actions are adjudications and neither constitute a finding of violation.**
- 6. FAA to monitor a person's progress in implementing corrective action associated with a compliance action.**
- 7. Failure to implement the agreed upon correction action in a compliance action results in a legal enforcement action.**

- 8. Willing means the person acknowledges responsibility for the event.**
- 9. Willing means the open sharing of information with the FAA to determine root cause.**
- 10. Willing means promptly implementing corrective action.**
- 11. Able means the person has (a) the resources to implement the necessary corrective action, (b) the knowledge and technical competence required by the certificate they hold.**
- 12. Matters pertaining to a certificate holder's competency or qualifications – all three options available**

The FAA's List of Factors in Resolving a Pilot Deviation

- ❖ Nature of the violation
- ❖ Inadvertent and not deliberate
- ❖ Pilot's level of expertise
- ❖ Attitude of the violator
- ❖ Degree of hazard caused
- ❖ Action by employer or other authority
- ❖ Use of certificate – how was it being used?
- ❖ Violation history
- ❖ Ability to absorb sanction
- ❖ Consistency of sanctions
- ❖ Violation reported voluntarily
- ❖ Corrective action



“My Soul is in the Sky”

William Shakespeare